

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-18 15:27:16
2. **Agency:** 011
3. **Bureau:** 20
4. **Name of this Investment:** BOP Inmate Telephone System (TRUFONE)
5. **Unique Project (Investment) Identifier:** 011-20-01-03-01-2709-00
6. **What kind of investment will this be in FY 2011?:** Operations and Maintenance
 - Planning
 - Full Acquisition
 - Operations and Maintenance
 - Mixed Life Cycle
 - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB? ***
8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

TRUFONE is a centralized inmate calling system that provides inmates with a secure, efficient and cost effective means of maintaining contact with family, friends, and the community while at the same time prevents crime, fraud and abuse by inmates. This centralized enterprise system can be reviewed on a national level. It provides the BOP with; enhanced call monitoring, call recording, and reporting capabilities. TRUFONE is solely funded and maintained using non-appropriated funds generated from the Commissary Trust Fund. The Commissary Trust Fund is a revolving fund established by Congress in 1932 (31 U.S.C. Section 1321(a)(22)) . All costs for the system are funded from the revenue generated by reselling the calling service to inmates. Annual funding is based on the projected sales for that year which exceeds the outlays for the project. These funds must be expended in accordance with the terms of the Trust for the benefit of inmates. The legacy system (ITS-II) was upgraded via a partial refresh. The TRUFONE task order provided a technical refresh of call processing equipment and telephones, while taking advantage of Enterprise Architecture by utilizing the inmate commissary systems (TRUFACS) infrastructure for; inmate account management, database design, data storage, LAN/WAN access, storage servers, and user access. A web application module provides staff access to the information from BOPNet (BOP administrative system) and TRUFACS (commissary System) workstations, eliminating the use of dedicated workstations and user access controls.

 - a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**
9. **Did the Agency's Executive/Investment Committee approve this request? ***
 - a. **If "yes," what was the date of this approval? ***
10. **Contact information of Program/Project Manager?**
 - **Name:** *
 - **Phone Number:** *
 - **Email:** *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
05-C-1119	Firm Fixed Price	Y	2005-08-04	2005-08-04	2011-09-30	\$15.3	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2005		*	*	Number of sites using ITS increases as new institutions/offices are activated	Number of sites operational on ITS	Increase number of sites using ITS by 9	100% of goal met.
2005		*	*	Number of sites using the INTRUDR component increases for existing institutions and new institutions/offices are activated	90 sites operational on INTRUDR	Increase number of sites using INTRUDR by 14	100% of goal met.
2006		*	*	Number of sites using ITS to be maintained	Maintain ITS through Phaseout	Maintain and operate sites using ITS.	100% of goal met.
2006		*	*	Staff productivity.	System must automate processes and help staff work more efficiently.	System changes or updates will be conducted at the request of program areas or in response to federal law. Such changes will include an analysis of business processes and data requirements of affected persons.	System is designed such that modules can be added to provide for efficient changes and additions to programs. Changes or updates are made at the request of, and with full input of affected program areas.
2006		*	*	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	Data is continuously and operationally validated. Programmatic validation occurs at almost every level and keying errors are corrected immediately upon discovery.
2007		*	*	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	Data is continuously and operationally validated. Programmatic validation occurs at almost every level and keying errors are corrected immediately upon discovery.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
							No data integrity issues discovered this year, 100% of goal met.
2006		*	*	System uptime	System availability: System must be available except for scheduled outages. Unscheduled outages are to be resolved within an hour.	Network Operations staff maintain a daily log indicating the continuous status of network operations and any unusual events that occur. Outages are investigated and resolved immediately.	100% complete
2007		*	*	Provide tech refresh at 80 sites	Maintain old hardware through tech refresh.	Maintain and operate sites using ITS and provide tech refresh.	100 sites provided tech refresh, 100% of goal completed.
2007		*	*	Staff Productivity	System must automate processes and help staff work more efficiently.	System changes or updates will be conducted at the request of program areas or in response to federal law. Such changes will include an analysis of business processes and data requirements of affected persons.	Automated investigative data exchange and call controls (Blocking the numbers of victims and witnesses) goal 100% complete
2007		*	*	System Uptime	System availability: System must be available except for scheduled outages. Unscheduled outages are to be resolved within an hour.	Network Operations staff maintain a daily log indicating the continuous status of network operations and any unusual events that occur. Outages are investigated and resolved immediately	100% of goal met.
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Control system costs to lower cost to inmates and the public.	Provide best value telephone services	Take advantage of government wide volume discounts by converting telephone circuits to new NetworX contract. Complete 50% of sites in FY-08	100% of goal met.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Control system costs to lower cost to inmates and the public.	Provide best value telephone services	Take advantage of government wide volume discounts by converting telephone circuits to new NetworX contract. Complete conversion of remaining sites in FY-09.	Networx contract as been renegotiated to start converting sites in FY10
2008	Prevent Terrorism and Promote the Nation s Security	*	*	Data is made accessible to outside Law enforcement agencies.	Continue to provide data through manual processes.	Automate the delivery of existing datasharing initiatives that now require manual process.	100% of goal met.
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	Data is continuously and operationally validated. Programmatic validation occurs at almost every level and keying errors are corrected immediately upon discovery. No data integrity issues discovered this year, 100% of goal met.
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	Data is continuously and operationally validated. Programmatic validation occurs at almost every level and keying errors are corrected immediately upon discovery. No data integrity issues discovered this year, 100% of goal met.
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use	

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Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
						of the system.	
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	System Uptime	Maintain old hardware through tech refresh.	Complete technology refresh of aged call processing equipment at all sites.	100% of goal met.
2009	Prevent Terrorism and Promote the Nation s Security	*	*	Data is made accessible to outside Law enforcement agencies.	Continue to provide data through current initiatives, OneDOJ.	Modify data exchange to meet changing standards in OneDOJ. Expand data sharing through other intitatives that present themselves.	66% is provided to 2 outside agencies LEISP is under review to address privacy issues
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	System Uptime	Current recording equipment is aged and requires updating.	Convert recording hardware from current INTRUDR hardware to existing PBX.	100% of Goal Met
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Control system costs to lower cost to inmates and the public.	provide best value telephone services	Take advantage of government wide volume discounts by converting telephone circuits to new Networx contract. Complete conversion of all sites in FY-10	Networx contract has been completed. All circuits have been transitioned as of Jan 2010
2010	Prevent Terrorism and Promote the Nation s Security	*	*	Data is made accessible to outside law enforcement agencies.	Continue to provide data through current initiatives, OneDOJ.	Modify data exchange to meet changing standards in OneDOJ. Expand data sharing through other initiatives that present themselves.	
2011	Prevent Terrorism and Promote the Nation s Security	*	*	Data is made accessible to outside law enforcement agencies.	Continue to provide data through current initiatives, OneDOJ.	Modify data exchange to meet changing standards in OneDOJ. Expand data sharing through other initiatives that present themselves.	
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and	*	*	System Uptime	Current telephones are aged and require updating	Replace current telephones with more rugged and higher quality	All phones were replaced by 09/01/2009, ahead of planned

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Interests of the American People					telephones	completion date. 100% of goal met.
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Control system costs to lower cost to inmates and the public.	Provide best value telephone services	Take advantage of government wide volume discounts.	
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Data integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	System Uptime	System must be available except for scheduled outages. Unscheduled outages are to be resolved within an hour.	Network Operations staff maintain a daily log indicating the continuous status of network operations and any unusual events that occur. Outages are investigated and resolved immediately.	
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	System Uptime	System must be available except for scheduled outages. Unscheduled outages are to be resolved within an hour.	Network Operations staff maintain a daily log indicating the continuous status of network operations and any unusual events that occur. Outages are investigated and resolved immediately.	
2012	Prevent Terrorism and Promote the Nation's Security	*	*	Data is made accessible to outside law enforcement agencies	Continue to provide data through current initiatives , OneDOJ.	Modify data exchange to meet changing standards in OneDOJ. Expand data	

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
						sharing through other initiatives that present themselves.	
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Control System costs to lower the cost to inmates and the public	Provide best value telephone services.	Take advantage of government wide volume discounts	

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Implement ITS BOP-wide	\$0.2	\$0.2	1998-03-01	1998-03-01	2003-09-30	2003-09-30	0.00%	100.00%
Maintain TRUFONE at all sites FY12	*	*	2011-10-01		2012-09-30		0.00%	0.00%
Maintain ITS at existing sites FY04	\$0.0	\$0.0	2003-10-01	2003-10-01	2004-09-30	2004-09-30	0.00%	100.00%
Activate ITS at new sites FY04	\$0.0	\$0.0	2003-10-01	2003-10-01	2004-09-30	2004-09-30	0.00%	100.00%
Maintain ITS at existing sites FY05	\$0.0	\$0.0	2004-10-01	2004-10-01	2005-09-30	2005-09-30	0.00%	100.00%
Activate ITS at new sites FY05	\$0.0	\$0.0	2004-10-01	2004-10-01	2005-09-30	2005-09-30	0.00%	100.00%
Maintain ITS at existing sites FY06	\$0.0	\$0.0	2005-10-01	2005-10-01	2006-09-30	2006-09-30	0.00%	100.00%
Maintain ITS at all sites	\$0.0	\$0.0	2006-10-01	2006-10-01	2007-09-30	2007-09-30	0.00%	100.00%
Perform tech refresh at 106 facilities	\$0.0	\$0.0	2006-10-01	2006-10-01	2007-09-30	2007-09-30	0.00%	100.00%
Maintain ITS at all sites	\$0.0	\$0.0	2007-10-01	2007-10-01	2008-09-30	2008-09-30	0.00%	100.00%
Complete tech refresh at remaining sites	\$0.0	\$0.0	2007-10-01	2007-10-01	2008-02-28	2008-02-28	0.00%	100.00%
Security Feature Upgrade FY09	\$0.5	\$0.3	2009-01-01	2009-05-11	2009-09-30	2009-09-30	0.00%	100.00%
Telephone Upgrade FY09	\$1.4	\$1.4	2009-06-01	2009-06-01	2009-09-30	2009-09-01	0.00%	100.00%
Maintain TRUFONE at all sites	\$7.2	\$11.9	2009-10-01	2009-10-01	2010-09-30		83.00%	83.00%
Activate TRUFONE at new sites FY10	\$0.3	\$0.1	2009-10-01	2010-07-19	2010-09-30	2010-07-23	100.00%	100.00%
Security Feature Upgrade FY10	\$0.3	\$0.3	2009-10-01	2009-10-01	2010-09-30		85.00%	85.00%
Maintain TRUFONE at all sites FY11	*	*	2010-10-01		2011-09-30		0.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FTE Costs	\$11.5	\$12.4	2003-10-01	2003-10-01	2004-09-30	2004-03-30	100.00%	100.00%
FTE Costs	\$25.2	\$18.3	2009-10-01	2009-10-01	2010-09-30		83.00%	83.00%
FTE Costs	\$19.8	\$18.3	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
Maintain TRUFONE at all sites	\$16.3	\$14.8	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FTE Costs	\$22.2	\$20.3	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Maintain TRUFONE at all Sites	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FTE Costs	\$22.8	\$21.1	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FTE Costs	\$30.8	\$30.5	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
FTE Costs	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FTE Costs	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FTE Costs	\$23.6	\$22.2	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FTE Costs	\$21.0	\$19.6	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FTE Costs	*	*	2010-10-01		2011-09-30		0.00%	0.00%

* - Indicates data is redacted.